**American Political Science Association 2014**

**Washington, DC**

**Short Course 22 The Methods Studio**

**[An Advanced Workshop in Interpretive Methods]**

Sponsored by the Interpretive Methods and Methodologies Conference Group

**Part I: Ethical Questions Your IRB Can't Answer**

**Part II: “Crit”: Exploring Research Projects**

**Wednesday, Aug 27, 2:00 PM-5:30 PM Marriott Park Tower 8219**

Subject to change. Check the Final Program at the conference.

**Part I. 2-3.30 p.m. “Masterclass” [roundtable discussion] –**

**Ethical Questions Your IRB Can’t Answer** [suggested readings on back]

Federal Institutional Review Board (IRB) policies are intended to protect “human subjects” from researcher malfeasance. But they were created with experimental research designs in mind and as such are often problematic in their application to field research. Moreover, field research often poses ethical challenges that are beyond the purview of IRB policies. What are some of the ethical dilemmas that researchers face in the field? And how might researchers respond? Furthermore, are IRB practices displacing conversations about research ethics that should be taking place in disciplinary and/or departmental/curricular settings? Join the members of this roundtable in discussing these issues.

**Séverine Autesserre** [Barnard/Columbia; IR, African Studies, field research (ethnography, interviews), civil war, peacekeeping]

**Jim Curry** [University of Utah; American Politics, participant-observation, US Congressional office]

**Lee Ann Fujii** [University of Toronto; Comparative Politics, research ethics, field methods, local-level violence]

**Biko Koenig** [Ph.D. candidate, New School; American Politics, participant-observation, worker organizations]

**Break: 3.30 – 3.50 p.m.**

**Part II. 3.50-5.30 p.m. “Crit”: exploring 3 research projects** (20 min. each)

**Crit leaders: Masterclass presenters, plus**

**Parakh Hoon** [Virginia Tech; field research, informal institutions; IR/Comparative, Policy]

**Peregrine Schwartz-Shea** [University of Utah; research design; IRBs; American]

**Dvora Yanow** [Wageningen University; participant observation/ethnography; category, metaphor, framing, space analysis; Policy & Organizational Studies]

**Presenters:**

**Lahoma Thomas** (University of Toronto): the politicality of violence employed by transnational criminal organizations, in the Caribbean and Latin America

**Jessica L. Anderson** (George Washington University): how expertise affects efforts to devolve power in international peacebuilding interventions, in Somaliland

**Adam Koon** (London School of Hygiene and Tropical Medicine & Georgetown University) and

**Lahra Smith** (Georgetown University): Kenyan nurses’ perceptions of Universal Health Coverage (UHC) as both stakeholders in the health system and citizens of Kenya

# Suggested readings on IRBs and ethics

## IRBS

Bosk, Charles I., and Raymond G. de Vries. 2004. "Bureaucracies of mass deception: Institutional Review Boards and the ethics of ethnographic research." *Annals of the American Academy of Political and Social Science* 595:249-63.

Schrag, Zachary M. 2010. *Ethical imperialism: Institutional review boards and the social sciences, 1965-2009*. Baltimore, MD: Johns Hopkins University Press. [a comprehensive history of IRB policy development, up to 2009]

Stark, Laura. 2012. *Behind closed doors: IRBs and the making of ethical research*. Chicago: University of Chicago Press. [her dissertation; a combination of observer research in IRB meetings and policy history]

van den Hoonaard, Will C. 2011. *The seduction of ethics: Transforming the social sciences*. Toronto: University of Toronto Press. [the Canadian case]

Yanow, Dvora, and Peregrine Schwartz-Shea. 2008. “Reforming Institutional Review Board policy: Issues in implementation and field research.” *PS: Political Science & Politics* 41 (3), 483-94.

Yanow, Dvora and Peregrine Schwartz-Shea. Forthcoming. “Encountering your IRB: What political scientists need to know.”

## Ethics

Bar-On, Dan. 1996. "Ethical issues in biographical interviews and analysis." In *Ethics and process*, ed. R. Josselson. Thousand Oaks: Sage Publications.

Bell, Pam. 2001. "The ethics of conducting psychiatric research in war-torn contexts." In *Researching violently divided societies*, ed. M. Smyth and G. Robinson. Tokyo: United Nations University Press.

Benatar, S.R. 2002. "Reflections and recommendations on research ethics in developing countries." *Social Science & Medicine* 54 (7):1131-41.

Blee, Kathleen M. 1993. "Evidence, empathy, and ethics: Lessons from oral histories of the Klan." *The Journal of American History* 80 (2):596-606.

Blee, Kathleen M., and Ashley Currier. 2011. "Ethics beyond the IRB: An introductory essay." *Qualitative Sociology* 34 (3):401-13.

Bourgois, Philippe. 1990. "Confronting anthropological ethics: Ethnographic lessons from Central America." *Journal of Peace Research* 27 (1):43-54.

Carapico, Sheila. 2006. "No easy answers: The ethics of field research in the Arab world." *PS: Political Science and Politics* 39 (3):429-31.

Cassell, Joan. 1980. "Ethical principles for conducting fieldwork." *American Anthropologist* 82 (1):28-41.

———. 1981. "Does risk-benefit analysis apply to moral evaluation of social research?" In *Ethical issues in social science research*, ed. Tom L. Beauchamp, Ruth R. Faden, R. J. Wallace, Jr., and L. Walters. Baltimore: The Johns Hopkins University Press.

Chase, Susan E. 1996. "Personal vulnerability and interpretive authority in narrative research." In *Ethics and process*, ed. R. Josselson. Thousand Oaks: Sage Publications.

Condominas, Georges. 1973. "Distinguished Lecture 1972: Ethics and comfort: An ethnographer's view of his profession." In *Annual Report*: American Anthropological Association.

Dauphinée, Elizabeth. 2007. *The ethics of researching war: Looking for Bosnia*. Manchester: Manchester University Press.

de Laine, Marlene. 2000. *Fieldwork, participation and practice: Ethics and dilemmas in qualitative research*. London: Sage Publications.

du Toit, Brian M. 1980. "Ethics, informed consent, and fieldwork." *Journal of Anthropological Research* 36 (3):274-86.

Einwohner, Rachel L. 2011. "Ethical considerations on the use of archived testimonies in Holocaust research: Beyond the IRB exemption." *Qualitative Sociology* 34 (3):415-30.

Ellis, Carolyn. 1995. "Emotional and ethical quagmires in returning to the field." *Journal of Contemporary Ethnography* 24 (1):68-98.

Elms, Alan C. 1982. "Keeping deception honest: Justifying conditions for social scientific research stratagems." In *Ethical issues in social science research*, ed. T. L. Beauchamp, R. R. Faden, R. J. Wallace, Jr. and L. Walters. Baltimore: The Johns Hopkins University Press.

Fluehr-Lobban, Carolyn. 1998. "Ethics." In *Handbook of methods in cultural anthropology*, ed. H. R. Bernard. Walnut Creek: Rowman & Littlefield Publishers, Inc.

Fujii, Lee Ann. 2012. "Research ethics 101: Dilemmas and responsibilities." *PS: Political Science and Politics* 45 (4):717-23.

Gasper, Des. 1999. "Violence and suffering, responsibility and choice. Issues in ethics and development." *European Journal of Development Research* 11 (2):1-22.

Goduka, Ivy N. 1990. "Ethics and politics of field research in South Africa." *Social Problems* 37 (3):329-40.

González-López, Gloria. 2011. "Mindful ethics: Comments on informant-centered practices in sociological research." *Qualitative Sociology* 34 (3):447-61.

Goode, Erich. 1996. "The ethics of deception in social research: A case study." *Qualitative Sociology* 19 (1):11-33.

Guillemin, Marilys, and Lynn Gillam. 2004. "Ethics, responsibility, and "ethically important moments" in research." *Qualitative Inquiry* 10 (2):261-80.

Homan, Roger. 1980. "The ethics of covert methods." *The British Journal of Sociology* 31 (1):46-9.

———. 1992. "The ethics of open methods." *The British Journal of Sociology* 43 (3):321-32.

Irwin, Katherine. 2006. "Into the dark heart of ethnography: The lived ethics and inequality of intimate field relationships." *Qualitative Sociology* 29:155-75.

Kalyvas, Stathis N. 2004. "The paradox of terrorism in civil war." *Journal of Ethics* 8:97-138.

McCurdy, David W. 1981. "The medicine man." In *Ethics and anthropology*, ed. Michael A. Rynkiewich and J. P. Spradley. Malabar, FL: Robert E. Krieger Publishing Company, Inc.

Murphy, Elizabeth, and Robert Dingwall. 2007. "The ethics of ethnography." In *Handbook of ethnography*, ed. P. Atkinson, Sara Delamont, Amanda Coffey, John Lofland and L. Lofland. London: Sage.

Nash, June. 1981. "Ethnology in a revolutionary setting." In *Ethics and anthropology*, ed. Michael A. Rynkiewich and J. P. Spradley. Malabar, FL: Robert E. Krieger Publishing Company, Inc.

Paluck, Elizabeth Levy. 2009. "Methods and ethics with research teams and NGOs: Comparing experiences across the border of Rwanda and Democratic Republic of Congo." In *Surviving field research: Working in violent and difficult situations*, ed. C. L. Sriram, J. C. King, J. A. Mertus, O. Martin-Ortega and J. Herman. London: Routledge.

Price, June. 1996. "Snakes in the swamp: Ethical issues in qualitative research." In *Ethics and process*, ed. R. Josselson. Thousand Oaks: Sage Publications.

Raffe, David, Ivor Bundell, and John Bibby. 1989. "Ethics and tactics: Issues arising from an educational survey." In *Ethics of educational research*, ed. R. G. Burgess. London: Falmer Press.

Ross, Fiona C. 2005. "Codes and dignity: Thinking about ethics in relation to research on violence." *Anthropology South Africa* 28 (2&4):99-107.

Svensson, Marina. 2006. "Ethical dilemmas: Balancing distance with involvement." In *Doing fieldwork in China*, ed. M. Heimer and S. Thøgersen. Honolulu: University of Hawai'i Press.

Sieber, Joan E. 1993. "The ethics and politics of sensitive research." In *Researching sensitive topics*, ed. Claire M. Renzetti and R. M. Lee. Newbury Park: Sage.

Taylor, Steven J. 1987. "Observing abuse: Professional ethics and personal morality in field research." *Qualitative Sociology* 10 (3):288-302.

Thomson, Susan. 2013. “Academic integrity and ethical responsibilities in post-genocide Rwanda: Working with research ethics boards to prepare for fieldwork with ‘human subjects’.” In Susan Thomson, An Ansoms and Jude Murison, eds., *Emotional and Ethical Challenges for Field Research in Africa: The Story Behind the Findings*. London: Palgrave Macmillan, 139-54.

Tunnell, Kenneth D. 1998. "Interviewing the incarcerated: Personal notes on ethical and methodological issues." In *Inside stories: Qualitative research reflections*, ed. K. B. deMarrais. Mahwah, NJ: Lawrence Erlbaum Associates, Publishers.

Wilson, Ken. 1993. "Thinking about the ethics of fieldwork." In *Fieldwork in developing countries*, ed. S. Devereux and J. Hoddinott. Boulder: Lynne Rienner Publishers.

Woliver, Laura R. 2002. "Ethical dilemmas in personal interviewing." *PS: Political Science and Politics* 35 (1):677-78.

Wood, Elisabeth Jean. 2006. "The ethical challenges of field research in conflict zones." *Qualitative Sociology* 29 (3):373-86.